

2151



Docket No. GB920010074US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: A. I. Hickson, et al

Serial No.: 10/016,906

Filed: 12/14/2001

For: Selection of Communication Protocol for Message Transfer Based on Quality of Service Requirements

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 6 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on February 8, 2002

Catherine M. Robbins

(Name of person mailing paper or fee)

Catherine M. Robbins

(Signature of person mailing paper or fee)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : February 8, 2002  
A. I. Hickson, et al : IBM Corporation  
Filing Date: 12/14/2001 : Dept.T81/Bldg. 503-3  
Serial No. 10/016,906 : P.O. Box 12195  
For: Selection of Communication Protocol for Message Transfer : Research Triangle Park,  
Based on Quality of Service Requirements : North Carolina 27709



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

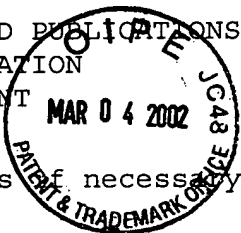
A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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Docket No. GB920010074US1

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. GB920010074US1	SERIAL NO. 10/016,906
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	APPLICANT: A. I. Hickson, et al	
	FILING DATE: 12/14/2001	GROUP: 2151



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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5 9 9 5 5 0 3	11/30/99	Bay Networks, Inc.	370	351	6/12/96
AB	5 5 3 7 4 1 7	7/16/96	IBM	370	94.1	1/29/93
AC	6 1 0 1 5 4 5	8/8/00	Hughes Electronics Corp.	709	230	10/21/96
AD	6 1 5 4 7 8 1	11/28/00	IBM	709	238	4/9/99
AE						

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	"IBM Lakes - An Architecture for Collaborative Networking", R. Morgan Publishing, UK, 1994, Chapter 1, Architecture Fundamentals.
	IBM Technical Disclosure Article No. 41982, "Managing Topology Changes in a Message Broker Environment", March 1999
AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.